

FACTS

WHAT DOES SWIFTSURE SECURITIES LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and financial information
- Investment holdings and investment experience
- Financial goals and financial history

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Swiftsure Securities chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Swiftsure Securities share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For non-affiliates to market to you	No	We don't share
For former Swiftsure representatives, if applicable. If your representative terminates his relationship with us and moves to another brokerage or investment advisory firm ("New Firm"), we or your representative may disclose your personal information to the New Firm, unless you instruct us not to. If you do not want us or your representative to disclose your information in this way, and if you do not want your representative to retain copies of your personal information in the event he leaves Swiftsure, you may instruct us not to by using the mail-in form below. California residents, see Other Important Information , below.	Yes	Yes

Questions?

Call 206.903.1001 or email mheyne@swiftsurecap.com

Who we are

Who is providing this notice?

Swiftsure Securities LLC

What we do

How does Swiftsure Securities protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you.

How does Swiftsure Securities collect my personal information?

We collect your personal information, for example, when you

- Invest in an offering and sign a subscription document.
- Provide new account profile information.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- Our affiliates include Swiftsure Capital LLC, and investment vehicles formed and managed by Swiftsure Securities or Swiftsure Capital

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- Our non-affiliated business partners include the private companies you choose to invest in

Joint marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you. We have no joint marketing agreements.

Other important information

You may have privacy protections under applicable state laws, including those for California residents. To the extent the state laws apply, we will comply with them when we share information about you, and in some case may be limited by you.

California Residents:

The California Information Privacy Act provides additional protections to control whether we share some of your personal information. In accordance with California law, we will not share information we collect about California residents with outside companies, except as permitted by law, such as with the consent of the customer or to service a customer's accounts.

To Limit our Sharing..

- **Call 206.903.1001** and ask to speak to the Chief Compliance Officer; email mheyne@swiftsurecap.com
- Mail the form below

If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice, but you can contact us at any time to limit our sharing. When you are no longer our customer, we continue to share your information as described in this notice.

Mail-In Form

Leave blank OR

If you have a joint account, your choice will apply to everyone on your account unless you mark below:

Mark if you wish to limit:

- Do not share my information with the New Firm or permit my representative to retain copies of my information should my representative leave Swiftsure.

- Apply my choices only to me**

Name: _____

Address: _____

City, State ZIP: _____

Mail to:

Swiftsure Securities LLC
Attn: Compliance
1325 Fourth Avenue, Suite 1500
Seattle, WA 98101